

Mutual Fund, 529 & Alternative Investments



As a valued business partner, we provide you the freedom to choose the company and investment vehicle that best suits your business style and client's needs. With our open architecture and no proprietary products being required, you will have independent selection of companies and products to meet each client's needs or goals. We add valued partners who have specific expertise on subjects of importance to your client's needs in a vast array of products. Generally, all share classes available from the fund family are part of our selling agreement, depending on the platform compliance restrictions. We provide qualified high-net-worth clients a range of alternative investments from companies our Risk Mitigation team has approved for sale after robust review including input from third party independent due diligence firms and experts.

- | | | |
|---|----------------------------------|-----------------------------------|
| Alger Funds | First Trust Portfolios UITs | Oppenheimer Funds - 529 |
| Alliance Bernstein Investments | Franklin Templeton Investments | Pacific Funds |
| Allianz Global Investor
(Retail - A/B/C/D/R) | Franklin Square Capital Partners | Phillip Edison REIT |
| Altegris Investments | FTC - BDFS | Pioneer Investments |
| American Century Funds | Goldman Sachs Funds - Retail | PIMCO Retail Funds |
| American Funds and 529 Plan | Griffin Capital Securities | Principal Funds |
| American Realty Capital | Guggenheim Investments | Prudential MF |
| AMG Funds | Hartford Funds | Putnam Investments |
| Apartment Trust of America | Hartford Smart529 Plan | Resource Securities |
| Aquila Group of Funds | Hartman Investments | Sammons LiveWell IRA |
| Ascensus College Savings | Highland Capital Management | Saratoga Advantage Trust/Fidelity |
| Blackrock Funds & 529 Plans | Hines Securities Inc. | S.C. Distributors |
| BofA Global Capital Mgmt | Inland Securities Corp. | Scholars Choice 529 Plan |
| Calamos Funds | Invesco | Security Benefit Advisor MF |
| Calvert Funds | InvesTrust Property Corp. | SmartStop Asset Management |
| CION Securities, LLC | Iowa Advisor 529 Plan | Steadfast Capital Markets |
| CNL Securities Corp | Ivy Funds and 529 Plan | SunAmerica Asset Mgmt, LLC |
| Cole Capital | John Hancock Freedom 529 | Texas LoneStar 529 Plan |
| College Choice Adv 529 | John Hancock Investments | The Timothy Plan |
| Columbia Threadneedle Funds | JP Morgan Funds | Thornburg Funds |
| Davis Funds | KBS Capital Markets | Transamerica Funds |
| Delaware Funds | Lightstone Capital Markets | Triloma Securities |
| Deutsche Asset & Wealth Mgmt | Lord Abbett & Co LLC | US Energy Development Corp |
| Dodge & Cox Funds | MainStay Funds | VALIC Group MF & 529 Plans |
| Dreyfus Family of Funds | Mewbourne Development Crop | Victory (Munder) MF |
| Eaton Vance Funds | MFS Investment Management | Virtus Investment Partners/MF |
| Federated Securities Corp. | Nexpoint Capital | VOYA 529 Plans (Iowa) |
| Fidelity Advisor Funds | Northstar Securities, LLC | W.P. Carey & Co, LLC |
| First Eagle Funds | Nuveen Investment MF | Waddell & Reed/IVY Funds |
| | Oppenheimer Funds | |